

1 IN THE UNITED STATES DISTRICT COURT

2 FOR THE DISTRICT OF OREGON

3 PORTLAND DIVISION

4  
5 UNITED STATES OF AMERICA, )  
6 )  
7 Plaintiff, ) Nos. 3:19-cr-00244-SI  
8 vs. ) 3:18-cr-00180-SI  
9 ROBERT A. LUND, )  
Defendant. ) September 3, 2019  
 ) Portland, Oregon

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13 TRANSCRIPT OF PROCEEDINGS

14 (Oral Argument/Status Conference)

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16 BEFORE THE HONORABLE MICHAEL H. SIMON  
17 UNITED STATES DISTRICT COURT JUDGE

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22  
23 Court Reporter: Ryan White, RMR, CRR, CSR/CCR  
24 United States District Courthouse  
1000 SW 3rd Avenue, Room 301  
Portland, Oregon 97204  
25 (503) 326-8184

1 APPEARANCES  
2

3 For the Plaintiff:

4 UNITED STATES ATTORNEY'S OFFICE  
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12 For the Defendant:

13 Robert A. Lund, Pro Se  
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1 (September 3, 2019; 11:02 a.m.)  
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5 P R O C E E D I N G S  
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8 MR. URAM: Good morning, Your Honor. Seth Uram and  
9 Clem Ashley for the United States.  
10

11  
12 We're here for a status hearing and a motions hearing  
13 on two related cases, both captioned United States versus Robert  
14 Lund. The first case number is 3:18-cr-00180. In that case,  
15 Mr. Lund is charged with one count of making false statements in  
16 a bankruptcy proceeding. The second case, that is numbered  
17 3:19-cr-00244.  
18

19 Today we're doing two things. Principally we are  
20 taking up Mr. Lund's motions in both cases to dismiss the cases  
21 essentially for want of jurisdiction of the court in case  
22 number -- well, in the No. 180 case. That is docket No. 18 of  
23 Mr. -- rather, docket No. 14 of Mr. Lund's, and in the 244 case,  
24 that is docket No. 15 of Mr. Lund's. The government filed a  
25 consolidated response in both of those, and we are ready to  
proceed on that.

26 And the second principal purpose is to determine  
27 Mr. Lund's representation in this matter.

28 THE COURT: Although, before we get to that, there  
29 were two other motions that Mr. Lund filed.

30 Besides the motion to dismiss for want of original

1 jurisdiction, docket 14 in the 180 case, and docket 18 in the  
2 244 case, he also filed a motion for involuntary dismissal for  
3 want of jurisdiction. That's docket 17 in the 180 case and  
4 docket 15 in the 244 case. And there's some overlap in those  
5 four motions, but my plan is to deal with those four motions  
6 before getting to the representation issue.

7 MR. URAM: Very well. Thank you, Your Honor.

8 THE COURT: Thank you, Mr. Uram.

9 And good morning, Mr. Ashley.

10 Good morning to you, Mr. Lund.

11 And, Mr. Lund, I do recall that at our last status  
12 conference there was some interest on your part in trying to  
13 find an attorney to represent you. Where do things stand in  
14 that -- on that issue, sir?

15 MR. LUND: Your Honor, good morning. I'd like to hold  
16 off until we establish jurisdiction.

17 THE COURT: Fair enough. That's fine.

18 All right. As I said, we have your four motions in  
19 the two cases before me. We have your verified motion to  
20 dismiss for want of original jurisdiction that's docket 14 in  
21 the 180 case; and the same motion, your verified motion to  
22 dismiss for want of original jurisdiction, that's docket 18 in  
23 the 244 case.

24 I also have your motion for order of involuntary  
25 dismissal for want of jurisdiction that's docket 17 in the 180

1 case; and the same motion for order of involuntary dismissal for  
2 want of jurisdiction that's docket 15 in the 244 case.

3 I have read everything that you have provided. Is  
4 there any further argument -- and I've read the government's  
5 response.

6 Is there anything further, sir, that you would like to  
7 say in support of your -- any of those motions?

8 MR. LUND: Yes, I would, Your Honor.

9 THE COURT: Please proceed.

10 MR. LUND: Okay. I am Minister Robert Andrew Lund.  
11 I'm here under special appearance and not general appearance for  
12 there appears to be a mistake on the record for the fact, for  
13 the record, I'm not waiving my immunity. I have immunity from  
14 prosecution that's been established by the International  
15 Organization Immunities Act of 1945, international treaties, and  
16 law.

17 The burden is on the government, Your Honor, to  
18 establish jurisdiction of this court and the record. I hereby  
19 specifically and unequivocally deny that this court has  
20 jurisdiction, has original jurisdiction, and I rebut all  
21 presumptions demanding strict proof as I do not recognize the  
22 authority of the court. I deny any presumption through my free  
23 exercise of spiritual belief of any agency over my international  
24 organization.

25 I am an ordained minister, an ambassador for Christ

1 from the Kingdom of Heaven, and here in the name of Christ as a  
2 co-heir with Christ.

3 I have a little notes hurricane here. One moment,  
4 please.

5 Okay. I recognize only my creator and Jesus Christ as  
6 my judge under the order of Melchizedek and the promise made to  
7 me by Jesus that all my legal obligations were nailed to the  
8 cross, washed by the blood of Christ, and as Jesus stated, it is  
9 finished.

10 Article III, Section 2, paragraph 2 of the US  
11 Constitution states this court lacks jurisdiction and I do not  
12 waive my God-given rights for I may not serve two masters.

13 Jurisdiction was challenged in two separate motions to  
14 dismiss. The prosecutor has the burden to prove jurisdiction on  
15 the record and to disprove the evidence of want of jurisdiction  
16 according to *Maine v. Thiboutot*.

17 Public Law 2, Stat. 153, is very specific, and the  
18 very first requirement is to renounce your allegiance to all  
19 foreign kingdoms, states, princes, and potentates. I filed a  
20 mandatory judicial notice of my Minnesota 220 filing. The  
21 evidence of this grant has been recorded on the land and  
22 recorded in Minnesota accordingly. My exercise of the power of  
23 appointment by said recording has terminated all presumptions of  
24 agency and all executive authorities thereunder.

25 So the government is in possession of exculpatory

1 evidence to establish and prove that I am not a US citizen and  
2 have not taken the required oath per 2 Stat. 153, and no  
3 evidence to the contrary exists on the record.

4 Under international obligations, the State of  
5 California on behalf of the United States of America established  
6 for me a legal identity structured as an international  
7 organization to hold my protections and immunities under the  
8 International Organization and Immunities Act.

9 In order to establish jurisdiction in this district  
10 court, the prosecutor has to basically disprove those facts. I  
11 do not renounce my allegiance to my creator and the Kingdom of  
12 Heaven, nor do I waive my God-given rights, and to make me do so  
13 is a direct violation of the limitations imposed upon the  
14 government by the First Amendment further codified by  
15 legislature and the Religious Freedom Restoration Act. As an  
16 ambassador for Christ, this district court lacks original  
17 jurisdiction over me, a living soul, defined in Genesis 2:7.

18 Furthermore, *Trinsey v. Pagliaro* states that an  
19 attorney cannot testify, so that nothing the prosecutor has  
20 submitted to the court is admissible evidence and is to be  
21 considered unreliable.

22 I don't know where the prosecutor is getting the term  
23 "sovereign citizen." It's an oxymoron at best. I object to the  
24 mischaracterization and mispersonification of me by the  
25 Government in their attempt to defame my character.

1                   *Police Department of Chicago v. Mosley*, 408 US 92, 96  
2 (1972), under the equal protection clause, not to mention the  
3 First Amendment itself, the government may not grant the use of  
4 a forum to people whose views it finds acceptable, such as  
5 government agencies, but deny use to those wishing to express  
6 less-favored or more controversial views, and it may not select  
7 with -- which issues are worth discussing or debating in public  
8 facilities. There is an equality of status in the field of  
9 ideas and the government must afford all points of view an equal  
10 opportunity to be heard.

11                  The government is in possession of exculpatory  
12 evidence that I am not a US citizen, that I have not taken the  
13 oath required per Public Law 2, Stat. 153, and no evidence to  
14 the contrary exists on the record.

15                  The United States has failed in its burden to  
16 establish jurisdiction on the record and they cannot establish  
17 jurisdiction by calling me a sovereign citizen. The term  
18 "sovereign citizen" has never been established in a court of  
19 law, either by Congress or the courts. There are no proper  
20 decisions before the court that addresses what exactly a  
21 sovereign citizen is.

22                  The US prosecutor can prove no set of circumstances to  
23 establish that I am a citizen in any way, shape, or form  
24 pursuant to Public Law 2, Stat. 153, and my religious beliefs  
25 prohibit me from pledging my allegiance to anyone but God and

1 the truth -- and the true source is God.

2 I do not waive my God-given rights and request the  
3 court enter a declaratory judgment on the findings of fact and  
4 conclusion of law establishing what exactly a sovereign citizen  
5 is.

6 In aid of the court's declaratory judgment, I hereby  
7 state the following: I am an ambassador for Christ pursuant to  
8 2 Corinthians 5:20, and the Holy Bible has been recognized by  
9 the United States government as the Word of God and the  
10 foundation upon which the republic rests pursuant to Public Law  
11 97-280.

12 Furthermore, my DS-11 application and supplementary  
13 explanatory statement presenting me as an ambassador for Christ  
14 and resident of Heaven was accepted by a US consular affairs  
15 agent on July 1st, 2019.

16 I hereby exercise my right to religious freedom and  
17 free exercise protected under the First Amendment and Religious  
18 Freedom Restoration Act codified as 42 US 2000bb. I hereby  
19 stand before the court under the order of Melchizedek as an  
20 ordained minister notifying the Levitical priesthood that I  
21 shall not act in subjectivity to the court's avow.

22 I do not waive any of my God-given rights and hereby  
23 demand this case be dismissed under the order of Melchizedek and  
24 as a co-heir with Jesus Christ as all my legal obligations were  
25 nailed to the cross and washed in the blood of my Lord and

1 Savior, Jesus. Per Colossians 2:13 through 14, it is paid in  
2 full, it is finished.

3 I object to any other action in this court until  
4 jurisdiction has been established. If the court is unsure of  
5 what I'm saying and wants to move forward, you're doing so in  
6 want of jurisdiction under constitutional limitations of  
7 government.

8 As I briefed the court, this matter is specifically  
9 reserved to the original jurisdiction of the Supreme Court of  
10 the United States, and any action proceeding forward done  
11 without jurisdiction will be subjecting the court, the presiding  
12 judge, and the US prosecutor to individual liability in his  
13 person for acting under the color of law with unwanted  
14 jurisdiction.

15 If this court is unsure of this question of law, I  
16 would request that the certification of said question related to  
17 Article III, Section 2, paragraph 2, to the Supreme Court of the  
18 United States, submit it to that court for determination.

19 As I said, I object to any movement forward until  
20 jurisdiction --

21 THE COURT: Mr. Lund, let me just interrupt.

22 I don't mind you saying anything that you want to say,  
23 but I do mind you repeating yourself.

24 MR. LUND: That was a little redundant. Sorry. Yeah.

25 I do want to say that I believe the court lacks

1 jurisdiction and jurisdictional issues may be raised at any  
2 time. If the court should decide to move forward without  
3 jurisdictional challenges existing, I do waive my right to a  
4 jury trial and I place responsibility for the decisions of this  
5 court on the presiding judge at that time to rule on the facts  
6 and the conclusions of law.

7 THE COURT: Okay. Thank you, Mr. Lund. I appreciate  
8 your comments. I do want to share some responses with you.

9 First of all, I understand you've made a number of  
10 arguments. I think your threshold argument is that this court  
11 doesn't have original jurisdiction over this matter.

12 As I understand your argument, you're basing that on  
13 Article III, Section 2, clause 2 of the US Constitution which  
14 provides, in relevant part, quote, In all cases affecting  
15 ambassadors, other public ministers, and consuls, and those in  
16 which a state shall be party, the Supreme Court shall have  
17 original jurisdiction, closed quote.

18 I saw your documentation that you are an ambassador of  
19 Christ. I'm not going to draw any conclusions as to whether or  
20 not you are an ambassador for purposes of how that term is used  
21 in the Constitution, but I will simply accept for purposes of  
22 this argument that you are an ambassador as you state, and I  
23 don't think it makes a difference because I think your  
24 constitutional interpretation is in error based on some fairly  
25 clear US Supreme Court precedent. It's in error because you

1 seem to conflate the terms "original jurisdiction" with  
2 "exclusive jurisdiction."

3 You are correct that the Supreme Court has original  
4 jurisdiction over cases involving ambassadors. So even if you  
5 are an ambassador, the Supreme Court could have original  
6 jurisdiction, but that doesn't mean they have exclusive  
7 jurisdiction.

8 That point first would be -- was announced by the  
9 Supreme Court in *Cohens v. Virginia* in 1821, and then made  
10 clearer by the Supreme Court in *California v. Arizona* in 1979.  
11 In that case, the Supreme Court said, quote, It is similarly  
12 clear that the original jurisdiction of this court -- the  
13 Supreme Court -- is not constitutionally exclusive, that other  
14 courts can be awarded concurrent jurisdiction by statute, closed  
15 quote.

16 Now, let me just stop here for a moment and say I get  
17 that it's confusing. You know, it's not obvious to someone  
18 who's not been trained in the law that there's a distinction  
19 between exclusive and original jurisdiction, and that a court  
20 like the Supreme Court can have original jurisdiction even  
21 though it's not exclusive, and another court, such as this  
22 court, can have concurrent jurisdiction. These are complicated  
23 terms.

24 From my recollection, that distinction wasn't even  
25 taught in first year of law school. It was -- it came about in

1       a more advanced course on federal courts in the second or third  
2       year of law school.

3                    MR. LUND: Right.

4                    THE COURT: That's why, when we eventually get to what  
5       we're going to get to this morning, I really do urge you to have  
6       a lawyer trained in these matters so that they won't make the  
7       mistake that you're making of confusing original jurisdiction  
8       with exclusive jurisdiction.

9                    But as I said, to continue, in *California v. Arizona*,  
10      the Supreme Court said that other courts can, by statute, have  
11      concurrent jurisdiction. Well, Congress did precisely that. In  
12      Title 28 of the United States Code at Section 1251, Congress  
13      declared that the Supreme Court shall have original, but not  
14      exclusive, jurisdiction of all actions or proceedings to which  
15      ambassadors are parties, and that's at subsection (b) (1).

16                  Therefore, that constitutional provision does not  
17      preclude this court from having original jurisdiction as well,  
18      concurrent original jurisdiction. So I reject your argument  
19      under the original jurisdiction provision of the Constitution.

20                  But then you've also raised in your motion -- and  
21      really your motions -- the question of whether this court even  
22      has statutory subject matter jurisdiction.

23                  And, again, I note that, in your pleadings, you have  
24      cited to the Federal Rules of Civil Procedure. This is a  
25      criminal case. I don't know and I'm not going to ask you

1 because I want to respect your First Amendment rights to remain  
2 silent -- I don't know whether you've had any involvement in  
3 criminal cases.

4 I do know from what I've read you have taken -- you've  
5 participated in bankruptcy proceedings, you've participated in  
6 civil proceedings involving tax issues including cases that have  
7 gone all the way up to the Ninth Circuit.

8 Civil cases are different from criminal cases. I  
9 won't say that one is more complicated than the other, they're  
10 both pretty complicated in federal court, but they're different  
11 and different rules apply.

12 So your argument about subject matter jurisdiction  
13 under the civil rules of procedure have no bearing on this case.  
14 We look to the criminal jurisdiction statutes and the criminal  
15 rules of procedure.

16 Under Title 18 of the United States Code at Section  
17 3231, Congress expressly stated, quote, The district courts of  
18 the United States shall have original jurisdiction exclusive of  
19 the courts of the states of all offenses against the laws of the  
20 United States, closed quote.

21 That means that we have, in this district court,  
22 original jurisdiction over all offenses of alleged federal  
23 crimes, and that's under Section 3231. So I do find that  
24 there's subject matter jurisdiction here.

25 In your motion papers, you've also questioned the

1       venue, or I think what you referred to as the locus in quo.  
2       It's not quite the same thing as venue, but it's close enough.

3                   So to the question why are we appropriately here in  
4       the district of Oregon, for that, Congress says in  
5       18 USC Section 3232 that we look to the Federal Rules of  
6       Criminal Procedure for venue, and Rule 18 of the Federal Rules  
7       of Criminal Procedure provide venue. It states, quote, Unless a  
8       statute or these rules provide otherwise, the government must  
9       prosecute an offense in a district where the offense was  
10      committed and the Court must set the place of trial within the  
11      district with due regard for the convenience of the defendant,  
12      any victims, and the witnesses, and the prompt administration of  
13      justice, closed quote. That's Criminal Rule 18.

14                  Now, I do note that in both of these two criminal  
15      cases the indictments allege that the offenses were  
16      committed -- the alleged offenses were committed either in the  
17      district of Oregon, which is the entire state of Oregon, or at  
18      least in part in the district of Oregon. I see that in the 180  
19      case at paragraphs 1, 2, 3, and 7 of the indictment. I see that  
20      in the 244 case in paragraphs 1, 2, 11, 15, 17, 20, 24, and 28  
21      of that case in the indictment. Thus, I conclude that venue is  
22      proper in this district, in the district of Oregon.

23                  And finally, you've also raised in your motions  
24      personal jurisdiction over you, and I note that there are  
25      several cases that establish that the -- a district court has

1 personal jurisdiction in a criminal matter over any party who  
2 appears before it, quote, regardless of how that appearance was  
3 effected. Among other cases, that's from *United States v.*  
4 *Warren* from the Ninth Circuit in 1980.

5           And I further note that you have previously appeared  
6 in this court in the district of Oregon in these two criminal  
7 cases for arraignments and first appearances before a United  
8 States judge, so I do find that we have personal jurisdiction  
9 over you.

10           So I do find and conclude that defendant's verified  
11 motion to dismiss for want of original jurisdiction in case  
12 18-cr-180, defendant's motion for order of involuntary dismissal  
13 for want of jurisdiction in that case, defendant's motion for  
14 order of involuntary dismissal for want of jurisdiction and  
15 verified motion to dismiss for want of original jurisdiction in  
16 case 19-cr-244, all of those motions are denied. I do find that  
17 I do have subject matter and personal jurisdiction over you and  
18 over this case, that venue is proper in this district.

19           And I do also note that your objections to my exercise  
20 of jurisdiction have been properly and timely noted. They are  
21 all preserved for appeal. So if and when it becomes necessary  
22 or desirable on your part to appeal my rulings to the Ninth  
23 Circuit or to the Supreme Court, I do find that you have  
24 preserved all of your appellate rights for those arguments that  
25 you have made either in writing or orally here today. So those

1 rights are preserved.

2 Now, I'm going to set a trial date in a few moments.

3 I know that we have a trial scheduled for September 10th, which  
4 is a week from today. It doesn't strike me as realistic.

5 But in a few moments, after we talk about attorney  
6 issues, my plan is to set a trial. And I'm looking at, in order  
7 to preserve both yours and the public's rights to a speedy  
8 trial, I'm looking at either November 5 or 6 to begin a trial,  
9 and that would enable us to go into the following week as well,  
10 although I note that there's -- the court's closed on Monday,  
11 November 11th. But we can start on the 5th or 6th, or we can  
12 start the following Tuesday, the 12th, depending how long this  
13 case may last.

14 In a few moments, I'll ask both sides for their  
15 estimates, how long the trial will last. I'll also ask both  
16 sides for your respective positions on whether I should  
17 consolidate the two cases for trial purposes, case 18-180 and  
18 19-244, or whether or not I should try them separately, and if  
19 the latter, which one should go first.

20 But these are complex matters, not just on questions  
21 of law, but also on questions of trial strategy. So just as  
22 understanding the law and knowing what legal arguments to make,  
23 what evidentiary objections to raise, when they must be raised,  
24 are all very complicated issues that do require knowledge by a  
25 lawyer in order to be done effectively, so too are strategic

1 decisions such as whether or not to agree to -- whether it's  
2 better for you to have two trials all in one before one jury or  
3 one decisionmaker or to have them done separately, certainly how  
4 to present cases at trial. These are all tough strategic  
5 decisions and I can appreciate the difficulty that someone  
6 untrained in law is facing.

7           Frankly, even if you look at the news today, people  
8 who are trained in law are criminal defendants and they still  
9 don't represent themselves because it's generally not wise or  
10 smart to represent yourself.

11           And I understand why you wanted to represent yourself  
12 up until jurisdiction was established. It's now been  
13 established, at least my decision. Your appeal rights are  
14 preserved. We're going to go forward to trial.

15           If you tell me that you want to retain private  
16 counsel, I will let you do that. If you don't do that and you  
17 tell me that you still want to represent yourself, we'll have  
18 some more talking to do and I have some questions for you  
19 because I want to make sure that you really understand how  
20 difficult that is and you're voluntarily waiving your right to  
21 counsel.

22           And if you don't appear through a retained counsel and  
23 you don't answer my questions adequately on self-representation,  
24 I will appoint counsel at public expense from the Criminal  
25 Justice Act panel even over your objection if we have to get

1 there. I'll explain all that to you if we have to get there.

2 Now is the time that I'd like to hear your position on  
3 what you'd like to do in terms of counsel. Mr. Lund?

4 MR. LUND: Thank you, Your Honor.

5 It's been hard to find counsel that will represent  
6 what I think should happen. I'm sure you can appreciate that.

7 I'd like to hold off making a decision, if it's  
8 possible, possibly do some hunting, some more hunting. Is that  
9 an option?

10 THE COURT: Yes and no, because that's what you said  
11 when we spoke a few weeks ago, and I know that you were going to  
12 be looking for counsel now.

13 So here's why I'm saying yes or no.

14 I'm going to appoint counsel for you today. I'm going  
15 to ask the Criminal Justice Act panel to appoint a  
16 court-appointed attorney for you.

17 Mary, will you contact the CJA panel coordinator, have  
18 an attorney appointed for Mr. Lund.

19 If at any time thereafter you are successful and you  
20 do find an attorney who is licensed to practice in the state of  
21 Oregon and you want that attorney to represent you, all you have  
22 to do is have that attorney ask for an appearance before me.  
23 We'll have a hearing. And if I conclude that it's not being  
24 done for reasons of delay, that if, you know, it's not going to  
25 delay what needs to be done and it's not for improper purposes,

1 I fully expect I will allow you then to substitute your chosen  
2 attorney for the court-appointed counsel.

3           Alternatively, too, if you ever tell me that you  
4 really do want to represent yourself, I'll let you tell me that,  
5 we'll have that discussion, I'll go through the litany of the  
6 questions along the lines of the form I've previously given you,  
7 and if you choose at that time to represent yourself, even  
8 though I've given you a lot of reasons why you shouldn't, I'll  
9 let you do that and I'll ask to have your court-appointed  
10 attorney act as what's called standby or backup counsel.

11           But for right now, I'm going to have an attorney  
12 appointed for you. And as I said, if you want to keep looking  
13 for an attorney, as long as it's a qualified attorney admitted  
14 in good standing to practice in the courts of the state of  
15 Oregon, I will allow you to appear through that attorney. Just  
16 have that attorney contact my courtroom deputy and we'll  
17 schedule a hearing to talk about substitution of counsel. And  
18 as I said, if it's not for an improper purpose to cause delay, I  
19 will allow it.

20           So that's what I mean when I say yes and no. I just  
21 don't want to delay.

22           Along those lines, I'm going to schedule a trial date  
23 soon, and if you want an attorney that you find to represent  
24 you, you have to act promptly because I'm not going to accept a  
25 substitution of counsel filed the day before trial begins. That

1 will look to me as if it's for purposes of delay.

2                 However, if in the next week or two or so your  
3 attorney wants to enter an appearance and say "I will now be  
4 representing Mr. Lund in this matter, but I can't make this  
5 particular trial date" -- and I'll explore with that attorney  
6 why not and maybe we can clear some calendars and maybe we  
7 can't. I'll certainly listen to any argument that your attorney  
8 may make about why they need more time either to prepare for  
9 trial or to be available for trial and then I'll consider  
10 resetting the trial date. But until then, I don't want to leave  
11 it open and not have a trial date while you just go out and look  
12 for an attorney.

13                 So now let me turn to the government, and I'll come  
14 back to you, Mr. Lund, in a few moments to --

15                 MR. LUND: One thing --

16                 THE COURT: Of course.

17                 MR. LUND: -- about the attorney.

18                 I just want to make it clear that I don't want that  
19 attorney to -- I'm not giving them any permission to make any  
20 decisions for me until I get -- give him explicit -- have given  
21 him or her explicit permission on specific things.

22                 THE COURT: That's fair enough.

23                 And let me tell you that there are some things that an  
24 attorney may never make a decision without your consent. For  
25 example, a plea of not guilty has been entered by you to both of

1 these cases. No attorney will ever have the authority to change  
2 that to a guilty plea without your permission.

3 You have spoken earlier this morning about possibly  
4 wanting to waive a jury trial. That's a very serious decision.  
5 It may require not only your consent, but also the government's,  
6 and it's so serious that I'm going to wait and give you the  
7 opportunity both to find your own attorney and to speak with the  
8 court-appointed attorney that I'm going to appoint today before  
9 I even consider your waiver of a jury trial.

10 Now, ultimately if it comes to you making an informed  
11 decision after you've spoken with an attorney, if the government  
12 agrees, then, fine, I'll make decisions in this case and we  
13 won't have a jury.

14 On the other hand, you may change your mind after  
15 speaking with an attorney or thinking about it. The government  
16 may oppose the request. We'll take it one step at a time.

17 So right now I'm keeping this as scheduled for a jury  
18 trial. But it's a lot easier to cancel a jury at the last  
19 minute than to go get one, so I'll keep it scheduled as a jury  
20 trial.

21 In addition, there are other decisions that an  
22 attorney cannot make for you without your permission. But as  
23 long as you continue to attend our periodic status conferences,  
24 I'll give you the opportunity to consult with an attorney, and  
25 if an attorney is ever making a decision that you think is

1 without your permission, you let me know and we'll talk about it  
2 and I will do the right thing at that time.

3 MR. LUND: Thank you.

4 THE COURT: All right. Let me ask the government's  
5 position before I go and speak to Mr. Lund, although ultimately  
6 I'm going to ask Mr. Lund -- I'll give him the opportunity to  
7 speak to counsel, but does the government have a position on  
8 whether the two cases should be tried together or separately?

9 MR. URAM: Well, we believe they should, Your Honor.  
10 We -- I think we told you at the last hearing that we intended  
11 to make a formal request for that, but we, Mr. Ashley and I,  
12 discussed it after the hearing, we felt it would be better until  
13 we waited until counsel was appointed or not so we could  
14 interact with counsel informally.

15 But the first-in-time indictment, which is the 180  
16 indictment which alleges false statements and Mr. Lund's  
17 bankruptcy fraud, alleges six separate false statements. Each  
18 one of them relate to Mr. Lund allegedly lying in that  
19 proceeding about his income or his assets or his ownership and  
20 operation of businesses and deriving income from that.

21 All of the facts that would be used to prove those  
22 allegations are part of the case that would be used to prove the  
23 tax evasion and the other counts, the other tax-related counts,  
24 in the later-filed indictment, which is the 244 indictment.

25 So it's not that one is subsumed in the other, but

1 both cases arise, really, out of the same set of circumstances.  
2 We'll have the same evidence, we'll have the same witnesses.

3 And so for all the reasons that you know I would list  
4 individually, but I won't, we think it's appropriate to  
5 consolidate the cases into a single matter and a single trial.

6 THE COURT: Let me ask you this question. We don't  
7 know yet Mr. Lund's position, including his position after being  
8 advised by either court-appointed counsel or retained counsel,  
9 but if Mr. Lund chooses to object to the joinder of those two  
10 cases, what legal authority does the government present to me  
11 for my ability to join those two cases for a single trial over a  
12 defendant's objection?

13 MR. URAM: Well, if you want to entertain that  
14 question, we'd appreciate an opportunity to brief it for you.

15 THE COURT: All right. So why don't we do this.  
16 We'll get an attorney appointed from the panel today for  
17 Mr. Lund. We'll give that attorney an opportunity to see these  
18 facts, see the indictments, speak with Mr. Lund, and speak with  
19 you.

20 If there's an agreement to join the cases, it's fine  
21 with me. If there's a disagreement, if you want to join them  
22 and Mr. Lund, through counsel, opposes that, I'd ask you  
23 promptly to file a motion of legal authorities for why I would  
24 have the right to do that over objection.

25 I think what I'm going to do, though, when I schedule

1 trial, is right now I'm going to schedule both trials for the  
2 same day because just as I told Mr. Lund it's a lot easier to  
3 cancel a jury and have a bench trial at the last minute than do  
4 it the other way around, it's a lot easier to separate the cases  
5 at the last minute rather than to combine them.

6 So I will schedule both cases for the same trial date  
7 and we'll talk at our next status conference about whether that  
8 will or will not remain.

9 I'm not going to ask you now, Mr. Lund, whether you do  
10 or don't want both cases tried together. Anytime, though, you  
11 want to say something, you know, as long as you're not  
12 interrupting another lawyer, you're welcome to signal to me and  
13 I'll let you speak. But I don't think it's fair to you for me  
14 to ask you your position on that until you've spoken to counsel  
15 on that.

16 So other than setting a trial date, Mr. Uram, anything  
17 else the government would like to say at this time?

18 MR. URAM: Yes, Your Honor.

19 Now that you've informed Mr. Lund that you're going to  
20 appoint counsel for him, I'd like you or we would request that  
21 you order him not to file anything in either of these criminal  
22 cases by himself, meaning only his attorney will be filing any  
23 pretrial motions of any type.

24 The reason we request that is because Mr. Lund has  
25 been, for the last 25 years, successfully dodging his obligation

1 to pay taxes to the IRS, and he's been involved with counsel and  
2 more recently acting pro se in several matters where he has  
3 filed frivolous motions similar to the jurisdictional ones he  
4 filed today.

5 So for instance, during the IRS's civil audit of  
6 Mr. Lund's tax years which are the subject of count 4 in the  
7 more recent indictment, they served on him an administrative  
8 subpoena for him to produce records and to appear and testify.  
9 These were civil auditors.

10 Mr. Lund, acting pro se, filed a motion to quash down  
11 in Eugene district court. That was case number 11-6237.  
12 Eventually it was assigned to Judge Aiken. In that case,  
13 Mr. Lund filed many motions to dismiss it based on the court's  
14 lack of jurisdiction and the lack of the IRS to tax him making  
15 many of the same arguments he made to the court here today, and  
16 I'm holding here document 34 from that matter in which Judge  
17 Aiken dismissed all those motions, or denied them --

18 THE COURT: One moment.

19 MR. URAM: Yes?

20 THE COURT: That's a civil case; right?

21 MR. URAM: That's correct.

22 THE COURT: As I've explained to Mr. Lund, criminal  
23 cases are different. A civil case, you don't normally have the  
24 risk of losing your liberty and being sent to prison; in a  
25 criminal case, you do.

1           I have now appointed counsel. I will instruct  
2 Mr. Lund that now that I am going to -- now that I'm appointing  
3 counsel for him, it will be today, and I will direct that  
4 counsel promptly to contact you, you personally may not file any  
5 motions in this criminal case.

6           If you want to have your own retained attorney  
7 represent you, as I mentioned, do that promptly; otherwise, your  
8 lawyer that I appoint from the Criminal Justice Act panel will  
9 be representing you and speaking for you in all written filings,  
10 although I'll be somewhat looser in pretrial oral hearings such  
11 that after I hear from your lawyer, if there is something else  
12 that you would like to say, my inclination is to let you speak  
13 as well.

14           But I am going to order that you, now that you have an  
15 attorney appointed for you, may not personally file anything  
16 further in this criminal proceeding.

17           Anything further from the government before we set a  
18 trial date?

19           MR. URAM: Yes, Your Honor.

20           Just the second thing I referred to, at the last  
21 hearing I informed you that -- and you ordered that one of the  
22 conditions of Mr. Lund's release was that he surrender his  
23 passport to the -- to pretrial services, and you ordered him to  
24 do that. Pretrial services left a phone message for me this  
25 morning noting that today was the hearing date and informed me

1       that Mr. Lund has not surrendered a passport.

2                  You might remember that Mr. Lund informed you that he  
3 surrendered his then-existing passport to the state department,  
4 but we informed you that he had -- in July, he had flown down to  
5 DC as part of an expedited process to get a passport based on  
6 his status as an ambassador of Christ. And we don't have the  
7 information about whether that passport has been issued to him,  
8 but we know that no passport has been surrendered since the last  
9 hearing, so we request that you ask Mr. Lund the status of that  
10 passport.

11                 THE COURT: Can you get -- since you all report up to  
12 the executive branch, can you get that information from the  
13 state department as to whether or not a new passport has been  
14 issued to him?

15                 MR. URAM: Let me just step back for a moment and see  
16 if the case agent has tried to do that because I believe we  
17 asked her to do that but I don't know the results. So if I may  
18 just have 10 seconds.

19                 Thank you.

20                 Well, our IRS case agent has been in contact with the  
21 state department, and we've learned what the -- we've had the  
22 response that you would expect from a government agency in the  
23 summer, is that the only person who has any information about  
24 that is on extended summer vacation. So we don't have --

25                 THE COURT: All right.

1                   MR. URAM: -- anything to --

2                   THE COURT: Well, I encourage you to keep working  
3 through the chain of the justice department. I do know that  
4 there are avenues within the White House, if one agency has a  
5 disagreement with another agency, to have those disagreements  
6 resolved at the highest levels of government.

7                   So hopefully if the White House can get the -- excuse  
8 me -- if the justice department can get the information  
9 cooperatively from the state department, good, let me know what  
10 you learn. If they have difficulty, take it up through the  
11 executive branch, appropriate resources to get  
12 inter-cabinet-level disputes resolved.

13                  And beyond that, you're welcome to speak with  
14 Mr. Lund's attorney about any of the issues and raise it again  
15 with me at our next conference. But I'm not going to ask  
16 Mr. Lund about it now given that I've just appointed an attorney  
17 for him but he's not yet had the opportunity to speak with an  
18 attorney.

19                  MR. URAM: I understand, Your Honor. But the order  
20 for Mr. Lund to turn over that passport should he receive it  
21 remains in effect, I take it?

22                  THE COURT: Correct.

23                  MR. URAM: Thank you, Your Honor.

24                  THE COURT: Now are we ready to talk about trial  
25 dates?

1                   MR. URAM: Yes, and unfortunately I have a trial  
2 scheduled with Judge Hernandez starting on the 12th of November.

3                   THE COURT: How long do you expect this trial will  
4 last? If we do combine both the 180 and the 244 cases into one  
5 trial, what would be your best estimate?

6                   MR. URAM: Six or seven trial days, Your Honor.

7                   THE COURT: Okay. So how certain is that case with  
8 Judge Hernandez?

9                   MR. URAM: Well, I was about to say that it -- we have  
10 eight indicted defendants. It appears that they're all going to  
11 plead guilty, but we're not there yet, and so I have to keep  
12 that trial on the books on my calendar.

13                  So if we can -- and I would say that, realistically,  
14 any attorney who would be appointed to represent Mr. Lund, given  
15 the complexity of these charges, would not be able to be  
16 prepared for trial on that date and this would be a placeholder  
17 date anyway, the 5th or 6th or the 12th, so that if you want to  
18 set it with that understanding and exclude that time from speedy  
19 trial, we certainly have no objection.

20                  THE COURT: Well, I'm reluctant to go much beyond that  
21 without getting a speedy trial waiver from Mr. Lund, and I'm  
22 reluctant to ask Mr. Lund to waive his constitutional or  
23 statutory speedy trial rights, at least until after he's talked  
24 with this court-appointed attorney.

25                  So unless I hear from Mr. Lund right now that you

1       strenuously object and give me a reason why I should not set a  
2       trial to begin on November 5th, I'm going to schedule trial for  
3       November 5th.

4           That said, I do understand that that may need to be  
5       moved. When Mr. Lund's court-appointed attorney speaks with  
6       him, that attorney may need additional time to prepare.

7           When or if Mr. Lund comes forward with his own  
8       retained attorney, that attorney may need additional time to  
9       prepare. And if you are still scheduled to be before Judge  
10      Hernandez in a different courtroom, you may need to ask for an  
11      extension, and I recognize -- I'm not going to require, you  
12      know, the same attorney to be in two different courtrooms at the  
13      same time.

14           So I'm going to schedule a six-day trial to the jury  
15      in both cases at this time to commence on November 5th, 2019. I  
16      do find that we're both within the Speedy Trial Act and  
17      that -- the excludable delay, part because we had a pending  
18      motion to dismiss up until today.

19           By the way, I've ruled orally from the bench, but I  
20      have also prepared a written opinion. I'll file that written  
21      opinion in the court's docket this afternoon.

22           Mr. Lund, I assume -- we can mail it to you, but I  
23      also assume you'd want it to your gmail address?

24           MR. LUND: That's fine.

25           THE COURT: So we'll email it to Mr. Lund this

1 afternoon.

2 I do find that in order for newly-appointed counsel  
3 for the defense, as well as the government, to be fully prepared  
4 for trial, excludable delay is appropriate between now and  
5 November 5th.

6 And I also recognize that either court-appointed or  
7 retained defense counsel may request a postponement and the  
8 government may request a postponement, so I'd like to have a  
9 status conference sometime probably in October, early October,  
10 so we can see are we really going forward with trial on  
11 November 5th, and if so, on both cases, and if so, or if not,  
12 even, will it be jury or bench trial.

13 MR. URAM: Thank you, Your Honor.

14 And one last request, then.

15 Could your chambers request that -- of the CJA panel,  
16 that whoever is appointed, contact us -- meaning me and  
17 Mr. Ashley -- immediately so that we can read him into the case?

18 THE COURT: Yes. And, obviously, consistent with  
19 Mr. Lund's request, that attorney doesn't have Mr. Lund's,  
20 authority, obviously, to make any concessions on his part  
21 without Mr. Lund agreeing to them.

22 But so that -- that attorney can help get up to speed  
23 promptly in case that attorney and Mr. Lund do want to go to  
24 trial on November 5th, Mary, will you have CJA attorney contact  
25 Mr. Uram promptly.

1                   MR. URAM: And, Your Honor, at the last hearing we  
2 informed you that we had given Mr. Lund the bulk of the  
3 discovery materials and that we had available the last part of  
4 our discovery materials. Although he was participating by  
5 telephone, he requested that we bring it, with your permission,  
6 that we bring the materials to hand deliver them at the hearing.

7                   Do you want us to hand deliver them to Mr. Lund at  
8 this time or hold them for his attorney?

9                   THE COURT: Mr. Lund, do you have a preference?

10                  MR. LUND: That's fine. I can take it now.

11                  THE COURT: Okay. You may deliver them to Mr. Lund.

12                  MR. URAM: All right. Thank you.

13                  THE COURT: And also please ensure that when  
14 Mr. Lund's attorney, court-appointed attorney, contacts you, if  
15 you need to make additional copies for Mr. Lund's new attorney,  
16 both of those items, you can do that promptly.

17                  MR. URAM: Thank you, Your Honor.

18                  THE COURT: All right. And, Mr. Lund, in a few  
19 moments I'll ask you if you have any questions or anything else  
20 you want to raise, but I also want to make sure that I've been  
21 clear on one thing.

22                  I know that when you were before the magistrate you  
23 spoke about wanting to represent yourself. I've shown you the  
24 checklist that I use to make sure that any waiver of counsel is  
25 knowing, voluntary, and unequivocal.

1           I definitely do not want to interfere with your right  
2 to represent yourself if you want to, but I think now that we've  
3 had this full discussion about jurisdiction issues, now that  
4 I've ruled on jurisdiction and I've explained my reasoning, and  
5 you'll get my written decision by email this afternoon, I just  
6 want to reiterate that I'm appointing counsel from the CJA panel  
7 to represent you and to contact you and the government promptly.

8           Again, if you have your own retained counsel,  
9 just -- they can schedule a substitution appearance by  
10 contacting my courtroom deputy and we'll get you on my calendar  
11 promptly.

12           But if at any time going forward you tell me that you  
13 want to represent yourself going forward in pretrial matters or  
14 at a trial or both, I will then be fully prepared to have that  
15 discussion with you. In the law, it's called a colloquy. I  
16 will have that discussion with you, I'll give you all of the  
17 detailed reasons why I think that's a very bad idea, and I'll  
18 give you the checklist that I've already provided to you. And  
19 if you tell me that you understand my advice for why it's a bad  
20 idea, if you understand all of the things that I'm explaining to  
21 you, but notwithstanding that you still want to represent  
22 yourself after we have that discussion and you make that  
23 perfectly clear to me, I will let you do that.

24           But now I'm going to put the burden on you to tell me  
25 you would like to have that discussion. You can either tell me

1       that now if you want, but I recommend you don't. But any time  
2       you want at any future hearing, if you tell me that you want to  
3       have that discussion, we'll do it. And as long as it's not  
4       going to delay the ultimate resolution and it's not for improper  
5       purposes, I will respect your right to represent yourself, just  
6       as I'm also going to respect your right to ensure that you have  
7       counsel for your defense.

8                    MR. LUND: Thank you, Your Honor.

9                    As I said earlier, I -- until the matter of  
10          jurisdiction has been fully settled, then I object to any  
11          appointment of an attorney, I object to not being able to file  
12          motions. I want to be able to retain that right to appeal this  
13          process with or without an attorney at this point, and I do  
14          intend to file a notice of appeal.

15                  The date, November 5th, should be fine, and with a  
16          potential status hearing sometime in October.

17                  THE COURT: By the way, let me tell you -- and I want  
18          to be very careful. I don't want to give you legal advice. I'm  
19          not your lawyer. I'm not giving you legal advice.

20                  You may want to speak with an attorney, whether your  
21          court-appointed counsel or your own finding, on exactly how you  
22          appeal because jurisdiction has been resolved and determined at  
23          this level. You do have the right to challenge my ruling up at  
24          the Ninth Circuit and even beyond to the Supreme Court; however,  
25          when and how they will listen to that is a matter of some

1 complexity. If you file a notice of appeal before a judgment  
2 has been entered in this case, it may be rejected as untimely.

3 On the other hand, there may be opportunities such as  
4 filing a mandamus petition that could be heard on a more  
5 accelerated basis by the Ninth Circuit, but those have its own  
6 criteria, its own challenges.

7 I'm not giving you any legal advice other than to say  
8 in my -- from my perspective, jurisdiction has been determined  
9 here. But nothing that I say is ever final. It is always  
10 subject to appeal and review, but at the right time and in the  
11 right manner, and that too is something that's moderately  
12 complicated and people need or oftentimes should have the  
13 benefit of experienced counsel to know exactly what is the right  
14 time to seek an appeal or other appellate review and what's the  
15 right way to do it; otherwise, they lose the opportunity to have  
16 their arguments heard.

17 MR. LUND: I appreciate that. Thank you.

18 THE COURT: Okay. Let's schedule a status conference  
19 preferably early October.

20 Mary, what is looking good for our calendar? Perhaps  
21 the week of the 7th or something? What do you see?

22 THE CLERK: We have 1:00 on the 10th.

23 THE COURT: 1:00 on the 10th.

24 October 10, 1:00 p.m. Would that work for you,  
25 Mr. Lund, for a status conference?

1                   MR. LUND: Yes, that should be fine.

2                   THE COURT: All right. Mr. Uram or Mr. Ashley?

3                   MR. URAM: Yes, Your Honor. Thank you.

4                   THE COURT: All right. Schedule a status conference  
5 for October 10th, 1:00 p.m., in this courtroom.

6                   And, Mary, you'll be contacting the CJA panel  
7 coordinator today, having them promptly contact Mr. Lund and  
8 Mr. Uram, notify that counsel of the status conference on the  
9 10th of October at 1:00 p.m., the trial date of November 5th,  
10 six days, at least right now, to a jury in both cases, but all  
11 of that we can discuss further on October 10th.

12                  Is there anything further, Mr. Uram, that the  
13 government would like to discuss this morning?

14                  MR. URAM: Nothing, Your Honor.

15                  THE COURT: Thank you.

16                  And, Mr. Lund, is there anything further that you  
17 would like to discuss this morning?

18                  MR. LUND: Good for now.

19                  THE COURT: All right. See you all on October 1, 1:00  
20 p.m. We'll be in recess until then.

21                  MR. LUND: Thank you.

22                  MR. URAM: Thank you, Your Honor.

24                  (The proceedings concluded at 11:57 a.m.)

1 C E R T I F I C A T E  
2

3 I certify, by signing below, that the foregoing is a  
4 true and correct transcript of the record, taken by stenographic  
5 means, of the proceedings in the above-titled cause. A  
6 transcript without an original signature, conformed signature,  
7 or digitally signed signature is not certified.

8

9 DATED this 5th day of September, 2019.

10

11

12 // Ryan White

13 RYAN WHITE  
14 Registered Merit Reporter  
15 Certified Realtime Reporter  
16 Expires 9/30/2019  
17 Washington CCR No. 3220  
18 Expires 10/25/2019  
19 Oregon CSR No. 10-0419  
20 Expires 12/31/2020

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